Headquarters Department of the Army Washington, DC, 18 March 2013

Stability Operations

- 1. This change rescinds chapters 1, 2, and 4.
- 2. A plus sign (+) marks new material.
- 3. FM 3-07, 6 October 2008, is changed as follows:

Remove Old Pages	Insert New Pages
pages i through vii	pages i through iv
pages 1-1 through 1-18	page 1-1
pages 2-1 through 2-15	page 2-1
pages 4-1 through 4-14	page 4-1
pages G-1 through G-3	pages G-1 through G-2
page Source Notes-1	page Source Notes-1
pages Glossary-1 through Glossary-10	pages Glossary-1 through Glossary-9
pages References-1 through References-4	pages References-1 through References-3
pages Index-1 through Index-10	pages Index-1 through Index-7

4. File this transmittal sheet in front of the publication for reference purposes.

DISTRIBUTION RESTRICTION: Approved for public release; distribution is unlimited.

By order of the Secretary of the Army:

RAYMOND T. ODIERNO

General, United States Army Chief of Staff

Official:

JOYCE E. MORROW

Administrative Assistant to the Secretary of the Army 1218107

DISTRIBUTION:

Active Army, Army National Guard, and United States Army Reserve: Not to be distributed. Electronic media only.

Field Manual No. 3-07 Headquarters Department of the Army Washington, DC, 6 October 2008

Stability Operations

+ Contents

		Page
	+ PREFACE	iii
	+ INTRODUCTION	v
+ Chapter 1	THE STRATEGIC CONTEXT (Rescinded)	1-1
+ Chapter 2	STABILITY IN FULL SPECTRUM OPERATIONS (Rescinded)	2-1
Chapter 3	ESSENTIAL STABILITY TASKS	3-1
•	Identifying Essential Tasks	3-1
	Primary Stability Tasks	3-2
	The Role of Civil Affairs in Stability Operations	3-19
	Mission-Essential Tasks in Stability Operations	3-20
+ Chapter 4	PLANNING FOR STABILITY OPERATIONS (Rescinded)	4-1
Chapter 5	TRANSITIONAL MILITARY AUTHORITY	5-1
	Authority and Command Responsibility	5-1
	Organizing for Transitional Military Authority	5-3
	Guidelines for Transitional Military Authority	
	Courts and Claims	5-9
Chapter 6	SECURITY SECTOR REFORM	
	Background	6-1
	Integrated Security Sector Reform	
	Security Sector Reform Planning	
	Comprehensive Security Sector Reform	
	Disarmament, Demobilization, and Reintegration	6-18
Appendix A	INTERAGENCY, INTERGOVERNMENTAL, AND NONGOVERNME ORGANIZATIONS IN STABILITY OPERATIONS	NTAL A-1
Appendix B	INTERAGENCY MANAGEMENT SYSTEM	B-1
Appendix C	USAID PRINCIPLES FOR RECONSTRUCTION AND DEVELOPME	NTC-1
Appendix D	INTERAGENCY CONFLICT ASSESSMENT OVERVIEW	D-1

Distribution Restriction: Approved for public release; distribution is unlimited.

^{*}This publication supersedes FM 3-07, 20 February 2003.

Appendix E	HUMANITARIAN RESPONSE PRINCIPLES	E-1
Appendix F	PROVINCIAL RECONSTRUCTION TEAMS	
• •	SUMMARY OF CHANGES	G-1
••	+ SOURCE NOTESSou	
	+ GLOSSARY	
	+ REFERENCESRo	•
	+ INDEX	
	Figures	
Figure	3-1. Relating information engagement to the primary stability tasks	3-19
Figure	6-1. Elements of the security sector	6-3
Figure	A-1. Country team members	A-2
Figure	A-2. Notional civil-military operations center (battalion and above)	A-13
Figure	A-3. Coordination within the CMOC	A-14
Figure	D-1. Conflict diagnosis	D-4
Figure	D-2. Dynamics of instability	D-8
Figure	D-3. Analyzing causes of instability	D-11
Figure	F-1. Example of provincial reconstruction team organization	F-3
	Tables	
Table A	A-1. Members of NATO	A-9
Table A	A-2. Example of coordination centers	A-12
Table [D-1. Tactical stability matrix	D-12
Table E	E-1. The International Red Cross and Red Crescent Movement and Nongovernmental Organization Code of Conduct in Disaster Relie	ef E-5
+ Table	e G-1. New Army terms	G-2

+ Preface

Field Manual (FM) 3-07 is the Army's keystone doctrinal publication for stability operations. FM 3-07 presents overarching doctrinal guidance and direction for conducting stability operations, setting the foundation for developing other fundamentals and tactics, techniques, and procedures detailed in subordinate field manuals. It also provides operational guidance for commanders and trainers at all echelons and forms the foundation for Army Training System curricula.

The six chapters that make up this edition of *Stability Operations* constitute the Army's approach to the conduct of full spectrum operations in any environment across the spectrum of conflict. This doctrine focuses on achieving unity of effort through a comprehensive approach to stability operations, but remains consistent with, and supports the execution of, a broader "whole of government" approach as defined by the United States Government (USG). The core of this doctrine includes the following:

- + Chapter 1 is rescinded.
- + Chapter 2 is rescinded.
- Chapter 3 addresses the essential stability tasks that comprise military stability operations. It provides a detailed discussion of each of the five primary stability tasks, and describes the subordinate tasks that constitute the range of activities in stability operations. It includes doctrine that describes the role of civil affairs forces in stability operations as the commander's conduit for civil-military integration. Finally, it describes development of mission-essential and directed task list development to support stability operations.
- + Chapter 4 is rescinded.
- Chapter 5 addresses transitional military authority and provides doctrine concerning command responsibility, establishment, and organization of military government to support stability operations. It includes principles for establishing judicial structures to enable transitional military authority.
- Chapter 6 provides the doctrinal foundation for security sector reform, and introduces security force assistance as the capacity-building activity that encompasses organizing, training, equipping, rebuilding, and advising host-nation security forces. It also sets disarmament, demobilization, and reintegration as a fundamental element of security sector reform.

Seven appendixes complement the body of the manual.

Army doctrine is consistent and compatible with joint doctrine. FM 3-07 links stability operations doctrine to joint operations doctrine as expressed in joint doctrinal publications, specifically, Joint Publication (JP) 3-0 and JP 5-0. FM 3-07 expands on the fundamental principles of operations expressed in ADP 3-0 and links those principles to a comprehensive approach to stability operations within the framework of full spectrum operations. FM 3-07 also uses text and concepts developed in conjunction with North Atlantic Treaty Organization partners.

The principal audience for FM 3-07 is the middle and senior leadership of the Army, officers in the rank of major and above, who command Army forces or serve on the staffs that support those commanders. It is just as applicable to the civilian leadership of the Army. This manual is also intended to serve as a resource for the other government agencies, intergovernmental organizations, agencies of other governments, international organizations, nongovernmental organizations, and private sector entities who seek to develop a better understanding of the role of the military in broader reconstruction and stabilization efforts.

FM 3-07 uses joint terms where applicable. Most terms with joint or Army definitions are in both the glossary and the text. *Text references*: Definitions for which FM 3-07 is the proponent publication are in boldfaced text. *Glossary references*: Terms for which FM 3-07 is the proponent (authority) publication include an asterisk in the glossary entry. These terms and their definitions will be included in the next revision of FM 1-02. For other

definitions within the text, the term is italicized and the reference number of the proponent publication follows the definition.

The term "adversaries" includes both enemies and adversaries when used in the context of joint definitions.

FM 3-07 applies to the Active Army, the Army National Guard/Army National Guard of the United States, and U.S. Army Reserve unless otherwise stated.

This manual contains copyrighted material.

The U.S. Army Combined Arms Center is the proponent for this publication. The preparing agency is the Combined Arms Doctrine Directorate, U.S. Army Combined Arms Center. Send written comments and recommendations on a DA Form 2028 (Recommended Changes to Publications and Blank Forms) by mail to Commander, U.S. Army Combined Arms Center, Fort Leavenworth, ATTN: ATZL-CD (FM 3-07), 201 Reynolds Avenue, Fort Leavenworth, KS 66027-2337; by e-mail to leav-cadd-web-cadd@conus.army.mil; or submit an electronic DA Form 2028.

ACKNOWLEDGMENTS

The copyright owners listed here have granted permission to reproduce material from their works. When published, other sources of quotations will be listed in the source notes.

Losing the Golden Hour: An Insider's View of Iraq's Reconstruction, by James Stephenson. Reproduced with permission of Potomac Books, Incorporated. Copyright © 2007.

"The Nine Principles of Reconstruction and Development," by Andrew S. Natsios. Reproduced with permission of *Parameters*. Copyright © 2005.

State-Building: Governance and World Order in the 21st Century, by Francis Fukuyama. Reproduced with permission of Cornell University Press. Copyright © 2004.

+ Introduction

Today, the Nation remains engaged in an era of persistent conflict against enemies intent on limiting American access and influence throughout the world. This is a fundamental clash of ideologies and cultures, waged across societal abysses separating rich ethnic and religious traditions and profound differences in perspective. The Nation is embarking on a journey into an uncertain future where these precipitous divides threaten to expand as a result of increased global competition for natural resources, teeming urban populations with rising popular expectations, unrestrained technological diffusion, and a global economy struggling to meet the mounting demands from emerging markets and third world countries.

The character of this conflict is unlike any other in recent American history, where military forces operating among the people of world will decide the major battles and engagements. The greatest threats to our national security will not come from emerging ambitious states but from nations unable or unwilling to meet the basic needs and aspirations of their people. Here, the margin of victory will be measured in far different terms from the wars of our past. However, time may be the ultimate arbiter of success: time to bring safety and security to an embattled populace; time to provide for the essential, immediate humanitarian needs of the people; time to restore basic public order and a semblance of normalcy to life; and time to rebuild the institutions of government and market economy that provide the foundations for enduring peace and stability. This is the essence of stability operations.

Joint doctrine provides a definition for stability operations that captures the role of military forces to support broader governmental efforts:

[Stability operations encompass] various military missions, tasks, and activities conducted outside the United States in coordination with other instruments of national power to maintain or reestablish a safe and secure environment, provide essential governmental services, emergency infrastructure reconstruction, and humanitarian relief (JP 3-0).

This manual proceeds from that definition to establish the broad context in which military forces assume that role before, during, and after combat operations, across the spectrum of conflict. In doing so, the manual focuses the efforts of military forces appropriately in support of the other instruments of national and international power; thus, the manual defines a comprehensive approach to stability operations in a complex and uncertain future. For Army forces, those efforts are fundamental to full spectrum operations.

The essential nature of stability operations in this era of persistent conflict became increasingly clear following combat operations in Afghanistan and Iraq. Recognizing this shift in focus, the Department of Defense (DOD) implemented DODD 3000.05 in November 2005. The directive (superseded by DODI 3000.05, September, 2009) emphasized that stability operations were no longer secondary to combat operations, stating:

Stability operations are a core U.S. military mission that the Department of Defense shall be prepared to conduct and support. They shall be given priority comparable to combat operations and be explicitly addressed and integrated across all DOD activities including doctrine, organizations, training, education, exercises, materiel, leadership, personnel, facilities, and planning.

The directive further stressed that stability operations were likely more important to the lasting success of military operations than traditional combat operations. Thus, the directive elevated stability operations to a status equal to that of the offense and defense. That fundamental change in emphasis sets the foundation for this doctrine.

This manual addresses military stability operations in the broader context of United States Government reconstruction and stabilization efforts. It describes the role of military forces in supporting those broader efforts by leveraging the coercive and constructive capabilities of the force to establish a safe and secure environment; facilitate reconciliation among local or regional adversaries; establish political, legal, social, and economic institutions; and help transition responsibility to a legitimate civil authority operating under the rule

of law. This transition is fundamental to the shift in focus toward long-term developmental activities where military forces support broader efforts in pursuit of national and international objectives. Success in these endeavors typically requires a long-term commitment by external actors and is ultimately determined by the support and participation of the host-nation population.

However, this manual also provides doctrine on how those capabilities are leveraged to support a partner nation as part of peacetime military engagement. Those activities, executed in a relatively benign security environment as an element of a combatant commander's theater security cooperation plans, share many of the same broad goals as stability operations conducted after a conflict or disaster. Such activities aim to build partner capacity, strengthen legitimate governance, maintain rule of law, foster economic growth, and help to forge a strong sense of national unity. Ideally, these are addressed before, rather than after, conflict. Conducted within the context of peacetime military engagement, they are essential to sustaining the long-term viability of host nations and provide the foundation for multinational cooperation that helps to maintain the global balance of power.

Through a comprehensive approach to stability operations, military forces establish conditions that enable the efforts of the other instruments of national and international power. By providing the requisite security and control to stabilize an operational area, those efforts build a foundation for transitioning to civilian control, and eventually to the host nation. Stability operations are usually conducted to support a host-nation government or a transitional civil or military authority when no legitimate, functioning host-nation government exists. Generally, military forces establish or restore basic civil functions and protect them until a civil authority or the host nation is capable of providing these services for the local populace. They perform specific functions as part of a broader response effort, supporting the complementary activities of other agencies, organizations, and the private sector. When the host nation or other agency cannot fulfill their role, military forces may be called upon to significantly increase its role, including providing the basic civil functions of government.

By nature, stability operations are typically lengthy endeavors. All tasks must be performed with a focus toward maintaining the delicate balance between long-term success and short-term gains. Ultimately, stability operations do not necessarily aim to reduce the military presence quickly but to achieve broader national policy goals that extend beyond the objectives of military operations. The more effective those military efforts are at setting conditions that facilitate the efforts of the other instruments of national power, the more likely it is that the long-term commitment of substantial military forces will not be required.

To that end, military forces have to operate with the other instruments of national power to forge unity of effort through a whole of government approach. This approach accounts for a wider range of considerations beyond those of the military instrument, ensuring that planning accounts for broader national policy goals and interests. For the commander and staff, this may mean planning and executing operations within an environment of political ambiguity. As a result, the potentially slow development process of government reconstruction and stabilization policy may frustrate flexible military plans that adapt to the lethal dynamics of combat operations. Thus, integrating the planning efforts of all the agencies and organizations involved in a stability operation is essential to long-term peace and stability.

Chapter 1

+ The Strategic Context

This chapter is rescinded.

This page intentionally I	eft blank.	

Chapter 2

+ Stability in Full Spectrum Operations

This chapter is rescinded.

This page intentionally I	eft blank.	

Chapter 4

+ Planning for Stability Operations

This chapter is rescinded.

This page intentionally I	eft blank.	

Appendix G

+ Summary of Changes

SUMMARY OF MAJOR CHANGES

G-1. This appendix summarizes the major doctrinal changes made by this field manual.

CHAPTER 1 – THE STRATEGIC CONTEXT

G-2. Chapter 1 is rescinded.

CHAPTER 2 – STABILITY IN FULL SPECTRUM OPERATIONS

G-3. Chapter 2 is rescinded.

CHAPTER 3 – ESSENTIAL STABILITY TASKS

- G-4. Chapter 3 makes the following changes:
 - Addresses essential stability tasks in terms of those always performed by military forces, those
 military forces must be prepared to perform, and those best performed by civilians with the
 appropriate level of expertise. This discussion further defines these terms according to their
 likely execution horizon according to the Office of the Coordinator for Reconstruction and
 Stabilization essential task matrix.
 - Establishes stability-focused core and directed mission-essential task lists for corps-, division-, and brigade-level organizations.

CHAPTER 4 – PLANNING FOR STABILITY OPERATIONS

G-5. Chapter 4 is rescinded.

CHAPTER 5 – TRANSITIONAL MILITARY AUTHORITY

- G-6. Chapter 5 makes the following changes:
 - Describes how **transitional military authority** provides the basic civil functions of the host-nation government when the host nation no longer is capable of providing those functions.
 - Introduces doctrine for transitional military authority that provides the principles and fundamentals necessary for military commanders to provide government capability in the absence of a host-nation government.
 - Provides guidelines for transitional military authority to ensure such authority is provided according to The Hague and Geneva Conventions.

CHAPTER 6 – SECURITY SECTOR REFORM

- G-7. Chapter 6 makes the following changes:
 - Prescribes the term security sector reform as the overarching definition for efforts to establish
 or reform the individuals and institutions that provide for the safety and security of the host
 nation and its people.
 - Introduces the security sector reform as a fundamental component of stability operations that
 combines subordinate tasks from the primary stability tasks. It includes guiding principles and
 foundations for security sector reform that help to coordinate the efforts of the wide array of
 actors involved.

- Prescribes the term security force assistance to encompass efforts to organize, train, equip, rebuild, and advise host-nation security forces.
- Introduces disarmament, demobilization, and reintegration as a necessary precursor to security sector reform.
- Prescribes the terms disarmament for the collection, documentation, control, and disposal of small arms, ammunition, explosives, and light and heavy weapons of former combatants, belligerents, and the local populace; and reintegration as the process through which former combatants and belligerents acquire civilian status and gain sustainable employment and income. Uses the joint term demobilization to further describe the formal and controlled discharge of active combatants from armed forces or other armed groups.

APPENDIX A – INTERAGENCY, INTERGOVERNMENTAL, AND NONGOVERNMENTAL ORGANIZATIONS IN STABILITY OPERATIONS

G-8. Appendix A describes the roles and responsibilities of the various agencies and organizations involved in most stability operations. It also addresses the critical role of civil affairs forces in providing liaison and coordination among these groups.

APPENDIX B – INTERAGENCY MANAGEMENT SYSTEM

G-9. Appendix B provides an overview of the Interagency Management System, an interagency initiative that will ensure other government agencies will possess the expeditionary and campaign capabilities necessary to provide initial response and sustained support to reconstruction and stabilization operations.

APPENDIX C – USAID PRINCIPLES FOR RECONSTRUCTION AND DEVELOPMENT

G-10. Appendix C describes the fundamental principles for reconstruction and development used by the United States Agency for International Development.

APPENDIX D – INTERAGENCY CONFLICT ASSESSMENT OVERVIEW

G-11. Appendix D describes the conflict assessment frameworks in wide use by the other departments and agencies of the United States Government.

APPENDIX E – HUMANITARIAN RESPONSE PRINCIPLES

G-12. Appendix E outlines the humanitarian response principles adopted and observed by most nongovernmental organizations. These principles inform military understanding with respect to these organizations and help to establish a cooperative environment when conducting operations in areas where such organizations are already present.

APPENDIX F – PROVINCIAL RECONSTRUCTION TEAMS

G-13. Appendix F provides an overview of provincial reconstruction teams and describes how their efforts can support and complement the broader efforts of the military force.

TERMS AND DEFINITIONS

G-14. Table G-1 lists new Army terms for which FM 3-07 is the proponent field manual.

+ Table G-1. New Army terms

disarmament	security force assistance	stabilization
reintegration	security sector reform	transitional military authority

+ Source Notes

These are the sources used, quoted, or paraphrased in this publication. They are listed by page number.

- vi "[Stability operations encompass] various...": JP 3-0, *Joint Operations* (Washington, DC: Department of Defense, 17 September 2006, incorporating Change 1, 13 February 2008), GL-25.
- + vi "Stability operations are a core ...": DODI 3000.05, *Military Support for Stability, Security, Transition, and Reconstruction (SSTR) Operations* (Washington, DC: Department of Defense, 28 November 2005), 2 (hereafter cited as DODI 3000.05).
- + 3-1 "Many stability operations tasks ...": DODI 3000.05.
- 5-1 "Because of the ideological ...": Coles, Harry L. and Albert K. Weinberg, *Civil Affairs: Soldiers Become Governors* (Washington, DC: U.S. Government Printing Office, 1992), vii.
- 6-1 "Establishing security involves ...": Stephenson, James, *Losing the Golden Hour: An Insider's View of Iraq's Reconstruction* (Washington, DC: Potomac Books, Incorporated, 2007), 21.
- B-1 "Weak and failed states ...": Herbst, John E., "Stabilization and Reconstruction Operations: Learning from the Provincial Reconstruction Team (PRT) Experience," in a *Statement Before House Armed Services Subcommittee on Oversight and Investigations* on 30 October 2007 (Washington, DC), http://www.state.gov/s/crs/rls/rm/94379.htm (accessed 4 September 2008).
- C-1 "The development community and ...": Natsios, Andrew S., "The Nine Principles of Reconstruction and Development," *Parameters*, Autumn 2005: 19.
- F-1 "For the post-September 11 period, ...": Fukuyama, Francis, *State-Building: Governance and World Order in the 21st Century* (Ithaca, New York: Cornell University Press, 2004), 120.

This page intentionally I	eft blank.	

+ Glossary

The glossary lists acronyms and terms with Army, multi-Service, or joint definitions, and other selected terms. Where Army and joint definitions are different, (Army) follows the term. Terms for which FM 3-07 is the proponent (authority) manual are marked with an asterisk (*). The proponent manual for other terms is listed in parentheses after the definition.

SECTION I – ACRONYMS AND ABBREVIATIONS

IION I – AON	SITTING AND ADDINEVIATIONS
CA	civil affairs
CBRN	chemical, biological, radiological, and nuclear
CIA	Central Intelligence Agency
CMETL	core mission-essential task list
CMOC	civil-military operations center
CRC	Civilian Response Corps
CRSG	country reconstruction and stabilization group
DDR	disarmament, demobilization, and reintegration
DMETL	directed mission-essential task list
DOD	Department of Defense
DODD	Department of Defense directive
DOS	Department of State
FM	field manual
ICAF	interagency conflict assessment framework
ICRC	International Committee of the Red Cross
IMS	Interagency Management System
IPC	integration planning cell
JP	joint publication
NATO	North Atlantic Treaty Organization
NDI	National Democratic Institute
NGHA	nongovernmental humanitarian agency
NGO	nongovernmental organization
NSC	National Security Council
NSPD	national security Presidential directive
ОСНА	Office for the Coordination of Humanitarian Affairs
PAO	public affairs officer
PRDC	provincial reconstruction development committee
PRT	provincial reconstruction team
RSO	regional security officer
SAO	security assistance organization
S/CRS	Department of State, Office of the Coordinator for Reconstruction and

Stabilization

SSR security sector reform

SWEAT-MSO sewage, water, electricity, academics, trash, medical, safety, and other

considerations

TCAPF tactical conflict assessment and planning framework

UN United Nations

UNDPKO United Nations Department for Peacekeeping Operations

UNSG United Nations Secretary General

U.S. United States

USAID United States Agency for International Development

USG United States Government

SECTION II - TERMS

adversary

(joint) A party acknowledged as potentially hostile to a friendly party and against which the use of force may be envisaged. (JP 3-0)

alliance

(joint) The relationship that results from a formal agreement (for example, a treaty) between two or more nations for broad, long-term objectives that further the common interests of the members. (JP 3-0)

area of operations

(joint) An operational area defined by the joint force commander for land and maritime forces. Areas of operation do not typically encompass the entire operational area of the joint force commander, but should be large enough for component commanders to accomplish their missions and protect their forces. (JP 3-0)

+ assessment

(joint) 1. A continuous process that measures the overall effectiveness of employing joint force capabilities during military operations. 2. Determination of the progress toward accomplishing a task, creating an effect, or achieving an objective. 3. Analysis of the security, effectiveness, and potential of an existing or planned intelligence activity. 4. Judgment of the motives, qualifications, and characteristics of present or prospective employees or "agents. (JP 3-0) (Army) The continuous monitoring and evaluation of the current situation and progress of an operation. (ADP 3-0)

branch

(joint) 1. A subdivision of any organization. 2. A geographically separate unit of an activity, which performs all or part of the primary functions of the parent activity on a smaller scale. Unlike an annex, a branch is not merely an overflow addition. 3. An arm or service of the Army. 4. The contingency options built into the base plan. A branch is used for changing the mission, orientation, or direction of movement of a force to aid success of the operation based on anticipated events, opportunities, or disruptions caused by enemy actions and reactions. (JP 5-0)

campaign

(joint) A series of related major operations aimed at achieving strategic and operational objectives within a given time and space. (JP 5-0)

civil affairs operations

(joint) Those military operations conducted by civil affairs forces that (1) enhance the relationship between military forces and civil authorities in localities where military forces are present; (2) require coordination with other interagency organizations, intergovernmental organizations, nongovernmental organizations, indigenous populations and institutions, and the private sector; and (3) involve application of functional specialty skills that normally are the responsibility of civil government to enhance the conduct of civil-military operations. (JP 3-57)

coalition

(joint) An ad hoc arrangement between two or more nations for common action. (JP 5-0)

+ combat power

(joint) The total means of destructive and/or disruptive force which a military unit/formation can apply against the opponent at a given time. (JP 4-0) (Army) The total means of destructive and/or disruptive force which a military unit/formation can apply against the opponent at a given time. Army forces generate combat power by converting fighting potential into effective action. Combat power includes a unit's constructive and information capabilities as well as its disruptive and destructive force. (ADP 3-0)

command

(joint) The authority that a commander in the armed forces lawfully exercises over subordinates by virtue of rank or assignment. Command includes the authority and responsibility for effectively using available resources and for planning the employment of, organizing, directing, coordinating, and controlling military forces for the accomplishment of assigned missions. It also includes responsibility for health, welfare, morale, and discipline of assigned personnel. 2. An order given by a commander; that is, the will of the commander expressed for the purpose of bringing about a particular action. 3. A unit or units, an organization, or an area under the command of one individual. (JP 1)

+ command and control

(joint) The exercise of authority and direction by a properly designated commander over assigned and attached forces in the accomplishment of a mission. Command and control functions are performed through an arrangement of personnel, equipment, communications, facilities, and procedures employed by a commander in planning, directing, coordinating, and controlling forces and operations in the accomplishment of the mission. (JP 1) (Army) The exercise of authority and direction by a properly designated commander over assigned and attached forces in the accomplishment of a mission. Commanders perform command and control functions through a command and control system. (ADP 6-0)

+ commander's intent

(joint) A concise expression of the purpose of the operation and the desired end state. It may also include the commander's assessment of the adversary commander's intent and an assessment of where and how much risk is acceptable during the operation. (JP 3-0) (Army) A clear, concise statement of what the force must do and the conditions the force must establish with respect to the enemy, terrain, and civil considerations that represent the desired end state. (ADP 3-0)

commander's visualization

The mental process of developing situational understanding, determining a desired end state, and envisioning the broad sequence of events by which the force will achieve that end state. (ADP 3-0)

+ compel

To use, or threaten to use, lethal force to establish control and dominance, effect behavioral change, or enforce compliance with mandates, agreements, or civil authority. (ADP 3-0)

+ concept of operations

(joint) A verbal or graphic statement that clearly and concisely expresses what the joint force commander intends to accomplish and how it will be done using available resources. The concept is designed to give an overall picture of the operation. (JP 5-0) (Army) A statement that directs the manner in which subordinate units cooperate to accomplish the mission and establishes the sequence of actions the force will use to achieve the end state. It is normally expressed in terms of decisive, shaping, and sustaining operations. (ADP 3-0)

+ conduct

To perform the activities of the operations process: planning, preparing, executing, and continuously assessing. (ADP 6-0)

+ control

(joint) 1. Authority that may be less than full command exercised by a commander over part of the activities of subordinate or other organizations. 2. In mapping, charting, and photogrammetry, a collective term for a system of marks or objects on the Earth or on a map or a photograph, whose positions or elevations (or both) have been or will be determined. 3. Physical or psychological pressures exerted with the intent to assure that an agent or group will respond as directed. 4. An indicator governing the distribution and use of documents, information, or material. Such indicators are the subject of intelligence community agreement and are specifically defined in appropriate regulations. (JP 1-02) (Army) 1. In the context of command and control, the regulation of forces and warfighting functions to accomplish the mission in accordance with the commander's intent. (ADP 3-0) 2. A tactical mission task that requires the commander to maintain physical influence over a specified area to prevent its use by an enemy. (FM 3-90) 3. An action taken to eliminate a hazard or reduce its risk. (FM 5-19) 4. In the context of stability mechanisms, to impose civil order. (ADP 3-0)

country team

The senior, in-country, U.S. coordinating and supervising body, headed by the chief of the U.S. diplomatic mission, and composed of the senior member of each represented U.S. department or agency, as desired by the chief of the U.S. diplomatic mission. (JP 3-07.4)

+ decisive operation

The operation that directly accomplishes the mission. It determines the outcome of a major operation, battle, or engagement. The decisive operation is the focal point around which commanders design the entire operation. (ADP 3-0)

+ defeat mechanism

The method through which friendly forces accomplish their mission against enemy opposition. (ADP 3-0)

+ defensive operations

Combat operations conducted to defeat an enemy attack, gain time, economize forces, and develop conditions favorable for offensive or stability operations. (ADP 3-0)

demobilization

(joint) The process of transitioning a conflict or wartime military establishment and defense-based civilian economy to a peacetime configuration while maintaining national security and economic vitality. (JP 4-05)

+ depth

(Army) The extension of operations in time, space, and resources. (ADP 3-0)

+ destroy

1. In the context of defeat mechaisms, to apply lethal combat power on an enemy capability so that it can no longer perform any function and cannot be restored to a usable condition without being entirely rebuilt. (ADP 3-0) 2. A tactical mission task that physically renders an enemy force combat-ineffective until it is reconstituted. (FM 3-90)

*disarmament

(Army) The collection, documentation, control, and disposal of small arms, ammunition, explosives, and light and heavy weapons of former combatants, belligerents, and the local populace.

+ disintegrate

To disrupt the enemy's command and control system, degrading the ability to conduct operations while leading to a rapid collapse of enemy capabilities or the will to fight. (ADP 3-0)

+ dislocate

To employ forces to obtain significant positional advantage, rendering the enemy's dispositions less valuable, perhaps even irrelevant. (ADP 3-0)

end state

(joint) The set of required conditions that defines achievement of the commander's objectives. (JP 3-0)

+ enemy

A party identified as hostile against which the use of force is authorized. (ADP 3-0)

engagement

(joint) 1. In air defense, an attack with guns or air-to-air missiles by an interceptor aircraft, or the launch of an air defense missile by air defense artillery and the missile's subsequent travel to intercept. 2. A tactical conflict, usually between opposing, lower echelon maneuver forces. (JP 1-02)

+ execution

Putting a plan into action by applying combat power to accomplish the mission and using situational understanding to assess progress and make execution and adjustment decisions. (ADP 3-0)

+ influence

In the context of stability mechanisms, to alter the opinions and attitudes of a civilian population through information engagement, presence, and conduct. (ADP 3-0)

infrastructure reconnaissance

A multidiscipline variant of reconnaissance to collect technical information on various categories of the public systems, services, and facilities of a country or region. This task may take the form of either an assessment or a survey and develops the situational understanding of the local capability to support the infrastructure requirements of the local populace and/or military operations within a specific area. (FM 3-34.170)

insurgency

An organized movement aimed at the overthrow of a constituted government through use of subversion and armed conflict. (JP 3-05)

intelligence

(joint) The product resulting from the collection, processing, integration, evaluation, analysis, and interpretation of available information concerning foreign nations, hostile or potentially hostile forces or elements, or areas of actual or potential operations. The term is also applied to the activity which results in the product and to the organizations engaged in such activity. (JP 2-0)

interagency

(joint) United States Government agencies and departments, including the Department of Defense. (JP 3-08)

interagency coordination

(joint) Within the context of Department of Defense involvement, the coordination that occurs between elements of Department of Defense and engaged U.S. Government agencies for the purpose of achieving an objective. (JP 3-0)

intergovernmental organization

(joint) An organization created by a formal agreement (e.g., a treaty) between two or more governments. It may be established on a global, regional, or functional basis for wide-ranging or narrowly defined purposes. Formed to protect and promote national interests shared by member states. Examples include the United Nations, North Atlantic Treaty Organization, and the African Union. (JP 3-08)

+ isolate

In the context of defeat mechanisms, to deny an enemy or adversary access to capabilities that enable the exercise of coercion, influence, potential advantage, and freedom of action. (ADP 3-0)

+ knowledge management

The art of creating, organizing, applying, and transferring knowledge to facilitate situational understanding and decision-making. Knowledge management supports improving organizational learning, innovation, and performance. Knowledge management processes ensure that knowledge products and services are relevant, accurate, timely, and useable to commanders and decision makers. (ADP 3-0)

leadership

The process of influencing people by providing purpose, direction, and motivation, while operating to accomplish the mission and improving the organization. (FM 6-22)

maneuver

(joint) 1. A movement to place ships, aircraft, or land forces in a position of advantage over the enemy. 2. A tactical exercise carried out at sea, in the air, on the ground, or on a map in imitation of war. 3. The operation of a ship, aircraft, or vehicle, to cause it to perform desired movements. 4. Employment of forces in the operational area through movement in combination with fires to achieve a position of advantage in respect to the enemy in order to accomplish the mission. (JP 3-0)

military engagement

(joint) Routine contact and interaction between individuals or elements of the Armed Forces of the United States and those of another nation's armed forces, or foreign and domestic civilian authorities or agencies to build trust and confidence, share information, coordinate mutual activities, and maintain influence. (JP 3-0)

mission

(joint) The task, together with the purpose, that clearly indicates the action to be taken and the reason therefore. 2. In common usage, especially when applied to lower military units, a duty assigned to an individual or unit; a task. 3. The dispatching of one or more aircraft to accomplish one particular task. (JP 1-02)

multinational operations

(joint) A collective term to describe military actions conducted by forces of two or more nations, usually undertaken within the structure of a coalition or alliance. (JP 3-16)

+ neutral

(joint) In combat and combat support operations, an identity applied to a track whose characteristics, behavior, origin, or nationality indicate that it is neither supporting nor opposing friendly forces. (JP 1-02) (Army) A party identified as neither supporting nor opposing friendly or enemy forces. (ADP 3-0)

noncombatant evacuation operations

(joint) Operations directed by the Department of State or other appropriate authority, in conjunction with the Department of Defense, whereby noncombatants are evacuated from foreign countries when their lives are endangered by war, civil unrest, or natural disaster to safe havens or to the United States. (JP 3-0)

nongovernmental organization

(joint) A private, self-governing, not-for-profit organization dedicated to alleviating human suffering; and/or promoting education, health care, economic development, environmental protection, human rights, and conflict resolution; and/or encouraging the establishment of democratic institutions and civil society. (JP 3-08)

+ offensive operations

Combat operations conducted to defeat and destroy enemy forces and seize terrain, resources, and population centers. They impose the commander's will on the enemy. (ADP 3-0)

+ operational approach

The manner in which a commander contends with a center of gravity. (ADP 3-0)

operational area

(joint) An overarching term encompassing more descriptive terms for geographic areas in which military operations are conducted. Operational areas include, but are not limited to, such descriptors as area of responsibility, theater of war, theater of operations, joint operations area, amphibious objective area, joint special operations area, and area of operations. (JP 3-0)

operational environment

(joint) A composite of the conditions, circumstances, and influences that affect the employment of capabilities and bear on the decisions of the commander. (JP 3-0)

+ operational theme

The character of the dominant major operation being conducted at any time within a land force commander's area of operations. (ADP 3-0)

+ operations process

The major command and control activities performed during operations: planning, preparing, executing, and continuously assessing the operation. The commander drives the operations process. (ADP 3-0)

peacekeeping

(joint) Military operations undertaken with the consent of all major parties to a dispute, designed to monitor and facilitate implementation of an agreement (cease fire, truce, or other such agreement) and support diplomatic efforts to reach a long-term political settlement. (JP 3-07.3)

peace operations

(joint) A broad term that encompasses multiagency and multinational crisis response and limited contingency operations invilving all instruments of national power with military missions to contain conflict, redress the peace, and shape the environment to support reconciliation and rebuilding and facilitate the transition to legitimate governance. Peace operations include peacekeeping, peace enforcement, peacemaking, peace building, and conflict prevention efforts. (JP 3-07.3)

+ peacetime military engagement

All military activities that involve other nations and are intended to shape the security environment in peacetime. It includes programs and exercises that the United States military conducts with other nations to shape the international environment, improve mutual understanding, and improve interoperability with treaty partners or potential coalition partners. Peacetime military engagement activities are designed to support a combatant commander's objectives within the theater security cooperation plan. (ADP 3-0)

+ phase

(joint) In joint operation planning, a definitive stage of an operation or campaign during which a large portion of the forces and capabilities are involved in similar or mutually supporting activities for a common purpose. (JP 5-0) (Army) A planning and execution tool used to divide an operation in duration or activity. A change in phase usually involves a change of mission, task organization, or rules of engagement. Phasing helps in planning and controlling and may be indicated by time, distance, terrain, or an event. (ADP 3-0)

+ plan

A design for a future or anticipated operation. (ADP 5-0)

+ planning

The process by which commanders (and the staff, if available) translate the commander's visualization into a specific course of action for preparation and execution, focusing on the expected results. (ADP 3-0)

+ preparation

Activities performed by units to improve their ability to execute an operation. Preparation includes, but is not limited to, plan refinement; rehearsals; intelligence, surveillance, and reconnaissance; coordination; inspections; and movement. (ADP 3-0)

protection

(joint) 1. Preservation of the effectiveness and survivability of mission-related military and nonmilitary personnel, equipment, facilities, information, and infrastructure deployed or located within or outside the boundaries of a given operational area. 2. Measures that are taken to keep nuclear, biological, and chemical hazards from having an adverse effect on personnel, equipment, or critical assets and facilities. Protection consists of five groups of activities: hardening of positions; protecting personnel;

assuming mission-oriented protective posture; using physical defense measures; and reacting to attack. 3. In space usage, active and passive defensive measures to ensure that United States and friendly space systems perform as designed by seeking to overcome an adversary's attempts to negate them and to minimize damage if negation is attempted. (JP 3-0)

+ refugee

(joint) A person who, by reason of real or imagined danger, has left their home country or country of their nationality and is unwilling or unable to return. (JP 3-29)

*reintegration

The process through which former combatants, belligerents, and dislocated civilians receive amnesty, reenter civil society, gain sustainable employment, and become contributing members of the local populace.

rules of engagement

(joint) Directives issued by competent military authority that delineate the circumstances and limitations under which United States forces will initiate and/or continue combat engagement with other forces encountered. (JP 1-02)

*security force assistance

The unified action to generate, employ, and sustain local, host-nation, or regional security forces in support of a legitimate authority.

*security sector reform

The set of policies, plans, programs, and activities that a government undertakes to improve the way it provides safety, security, and justice.

sequel

(joint) In a campaign, a major operation that follows the current major operation. In a single major operation, a sequel is the next phase. Plans for a sequel are based on the possible outcomes (success, stalemate, or defeat) associated with the current operation. (JP 5-0)

stability operations

(joint) An overarching term encompassing various military missions, tasks, and activities conducted outside the United States in coordination with other instruments of national power to maintain or reestablish a safe and secure environment, provide essential governmental services, emergency infrastructure reconstruction, and humanitarian relief. (JP 3-0)

*stabilization

The process by which underlying tensions that might lead to resurgence in violence and a breakdown in law and order are managed and reduced, while efforts are made to support preconditions for successful long-term development.

+ support

(joint) The action of a force that aids, protects, complements, or sustains another force in accordance with a directive requiring such action. 2. A unit that helps another unit in battle. 3. An element of a command that assists, protects, or supplies other forces in combat. (JP 1) (Army) In the context of stability mechanisms, to establish, reinforce, or set the conditions necessary for the other instruments of national power to function effectively. (ADP 3-0)

synchronization

(joint) 1. The arrangement of military actions in time, space, and purpose to produce maximum relative combat power at a decisive place and time. 2. In the intelligence context, application of intelligence sources and methods in concert with the operation plan to ensure intelligence requirements are answered in time to influence the decisions they support. (JP 2-0)

system

(joint) A functionally, physically, and/or behaviorally related group of regularly interacting or interdependent elements; that group of elements forming a unified whole. (JP 3-0)

*transitional military authority

A temporary military government exercising the functions of civil administration in the absence of a legitimate civil authority.

This page intentionally I	eft blank.	

+ References

Field manuals and selected joint publications are listed by new number followed by old number.

REQUIRED PUBLICATIONS

These documents must be available to intended users of this publication.

FM 1-02 (101-5-1). Operational Terms and Graphics. 21 September 2004.

JP 1-02. Department of Defense Dictionary of Military and Associated Terms. 8 November 2011.

RELATED PUBLICATIONS

These documents contain relevant supplemental information.

JOINT AND DEPARTMENT OF DEFENSE PUBLICATIONS

Most joint publications are available online at http://www.dtic.mil/doctrine/new-pubs/jointpub.htm.

- + DODI 3000.05. Stability Operations. 16 September 2009.
- + DODD 5105.65. Defense Security Cooperation Agency (DSCA). 31 October 2000.
- + DODD 5132.03. *DOD Policy and Responsibilities Relating to Security Cooperation*. 24 October 2008.
- JP 1. Doctrine for the Armed Forces of the United States. 14 May 2007.
- JP 2-0. Joint Intelligence. 22 June 2007.
- + JP 3-0. Joint Operations. 11 August 2011.
- + JP 3-05. Special Operations. 18 April 2011.
- JP 3-07.3. Peace Operations. 17 October 2007.
- JP 3-07.4. Joint Counterdrug Operations. 13 June 2007.
- + JP 3-08. Interorganizational Coordination During Joint Operations. 24 June 2011.
- + JP 3-29. Foreign Humanitarian Assistance. 17 March 2009.
- JP 3-16. Multinational Operations. 7 March 2007.
- JP 3-57. Civil-Military Operations. 8 July 2008.
- + JP 4-05. Joint Mobilization Planning. 22 March 2010.
- + JP 5-0. Joint Operation Planning. 11 August 2011.

ARMY PUBLICATIONS

Most Army doctrinal publications and regulations are available online at http://www.apd.army.mil/. AR 27-20. *Claims*. 8 February 2008.

- + ADP 3-0 (FM 3-0). Unified Land Operations. 10 October 2011.
- + ADP 5-0 (FM 5-0). The Operations Process. 17 May 2012.
- + ADP 6-0 (FM 6-0). Mission Command. 17 May 2012.
- + ADP 7-0 (FM 7-0). Training Units and Developing Leaders. 23 August 2012.
- + ADRP 3-0. Unified Land Operations. 16 May 2012.
- + ADRP 6-0. Mission Command. 17 May 2012.
- FM 3-24. Counterinsurgency. 15 December 2006.
- FM 3-34.170 (5-170). Engineer Reconnaissance. 25 March 2008.

FM 3-34.210 (20-32). Explosive Hazards Operations. 27 March 2007.

FM 6-01.1. Knowledge Management Section. 29 August 2008.

FM 27-10. The Law of Land Warfare. 18 July 1956. (Incorporating Change 1, 15 July 1976.)

FM 46-1. Public Affairs Operations. 30 May 1997.

OTHER PUBLICATIONS

Agricultural Trade Development and Assistance Act of 1954. Title 7. United States Code, Section 1691.

Arms Export Control Act of 1976. Title 22. United States Code, Section 2751.

- + Executive Order 10206. http://www.archives.gov/federal-register/codification/executive-order/10206.html (accessed 27 June 2012).
- + Executive Order 12333. http://www.defenselink.mil/atsdio/documents/eo1233.html (accessed 27 June 2012).

Foreign Assistance Act of 1961. Title 22. United States Code, Section 2151.

John Warner National Defense Authorization Act for Fiscal Year 2007. Title 10. United States Code, Section 598.

- + Lessons-Learned: Disarmament, Demobilization, and Reintegration (DDR) in Reconstruction and Stabilization Operations—A Guide for United States Government Planners. April 2006.
- + Manual for Military Commissions.

http://www.defense.gov/pubs/pdfs/MMC%20Executive%20Summary.pdf (accessed 27 June 2012).

National Defense Authorization Act for Fiscal Year 2006. Title 10. United States Code, Section, 1207.

+ National Defense Strategy. June 2008.

http://www.defenselink.mil/pubs/2008NationalDefenseStrategy.pdf (accessed 27 June 2012).

National Security Presidential Directive 1. Organization of the National Security Council System

National Security Presidential Directive 44 (NSPD-44). *Management of Interagency Efforts Concerning Reconstruction and Stabilization*.

- + The National Security Strategy of the United States of America. March 2006. http://www.whitehouse.gov/nsc/nss/2006/ (accessed 27 June 2012).
- + The Principles and Guidelines on Children Associated with Armed Forces or Armed Groups.

 http://www.unicef.org/emerg/files/ParisPrinciples310107English.pdf (accessed 27 June 2012).

Rogers Act. Title 22. United States Code, Section 3901.

+ United Nations General Assembly Resolution 46/182. Strengthening of the Coordination of Humanitarian Emergency Assistance of the United Nations.

http://www.un.org/documents/ga/res/46/a46r182.htm (accessed 27 June 2012).

United Nations Participation Act of 1945. Title 22. United States Code, Section 287.

War Powers Resolution. Title 50. United States Code, Section 1541.

REFERENCED FORMS

DEPARTMENT OF THE ARMY FORMS

DA forms are available on the APD web site (www.apd.army.mil).

DA Form 2028. Recommended Changes to Publications and Blank Forms.

PRESCRIBED FORMS

This section contains no entries.

SOURCES USED

- These sources are quoted or paraphrased in this publication.
- Coles, Harry L. and Albert K. Weinberg. *Civil Affairs: Soldiers Become Governors*. Washington, DC: U.S. Government Printing Office, 1992. http://www.history.army.mil/books/wwii/civaff/index.htm (accessed 4 September 2008).
- Fukuyama, Francis. *State-Building: Governance and World Order in the 21st Century*. Ithaca, NY: Cornell University Press, 2004.
- Herbst, John E. "Stabilization and Reconstruction Operations: Learning from the Provincial Reconstruction Team (PRT) Experience." A *Statement Before House Armed Services Subcommittee on Oversight and Investigations*. 30 October 2007. http://merln.ndu.edu/archivepdf/nss/state/94379.pdf (accessed 4 September 2008).
- Natsios, Andrew S. "The Nine Principles of Reconstruction and Development." *Parameters*. Autumn 2005: 4–20.
- The [Organisation for Economic Co-operation and Development Development Assistance Committee]
 Handbook on Security System Reform (SSR): Supporting Security and Justice. Paris: OECD,
 2007.
- Stephenson, James. *Losing the Golden Hour: An Insider's View of Iraq's Reconstruction*. Washington, DC: Potomac Books, Incorporated, 2007.

This page intentionally I	eft blank.	

+ Index

Entries are by paragraph number unless specified otherwise.

Α

accountability, C-24–C-26 administration officer, A-28 administrative counselor, A-22 advance civilian team (IMS), B-14–B-15

Agency for International Development. See U.S. Agency for International Development.

agricultural advisor, provincial reconstruction teams and, F-24

ambassador, A-17–A-18, A-32. *See also* chief of mission.

antiterrorism, border control and, 6-87

archives and records, host-nation, transitional military authority and, 5-50

assessment, civil affairs and, 3-78 conflict, operational level, D-2–D-33

conflict, tactical level, D-34– D-63

corrections reform and, 3-29 development factors, of, C-14 disarmament, demobilization, and reintegration and, 6-113 economic, 5-43

engagement and, D-43–D-45 essential stability tasks and, 3-5

infrastructure, of, 5-45 reconstruction and development principle, C-14–C-16

risk, security sector reform and, 6-40

security forces structure requirements, of, 6-64 security sector and, 6-2

security sector and, 6-2 security sector reform planning and, 6-43

stability operations, of, D-34– D-63

transitional military authority and, 5-24

assist dislocated civilians (stability task), 3-39

atrocities, transitional military authority and, 5-53

B-C

best practices, tactical conflict assessment and planning framework and, D-62

border control forces, developing, 3-16, 6-86–6-88

Bureau for International Narcotics and Law Enforcement Affairs (DOS), security sector reform and, 6-10

Bureau of Diplomatic Security (DOS), security sector reform and. 6-10

Bureau of International Organizations (DOS), security sector reform and, 6-10

Bureau of Population, Refugees, and Migration (DOS), refugee coordinator and. A-24

capacity building, reconstruction and development principle, C-8–C-10

CARE. 3-36

Central Intelligence Agency, A-35, B-1

chaplains, transitional military authority and, 5-33

chemical, biological, radiological, and nuclear hazards, 3-19

chief of mission, A-17–A-18, A-32 advance civilian team and, B-15

chief of station, A-21

child soldiers, 6-105, 6-106, 6-116

civil administration, international law requirements for, 3-50

civil affairs, 5-47

nongovernmental humanitarian agencies, and, E-9

operations, A-67

provincial reconstruction development committees and, F-12

provincial reconstruction teams and, F-12, F-14, F-21, F-28

stability operations, in, 3-75–3-80

support of general infrastructure reconstruction programs and, 3-71

transitional military authority and, 5-32, 5-33

civil considerations, assessment of, 3-78, 3-80 transitional military authority and, 5-33

civil control, judicial reform and, 3-26,

civil security, judicial reform and, 3-26

Civilian Response Corps, B-21– B-25, B-28

civil-military cooperation center, table A-2

civil-military coordination, humanitarian response, during, E-40–E-54

civil-military operations center, A-53, A-67–A-76 liaison with nongovernmental humanitarian agencies, E-11, E-12

claims, host-nation, transitional military authority and, 5-56– 5-61

clear explosive and CBRN hazards (stability task), 3-19–3-20. See also demining, minefields, support humanitarian demining.

coast guards, 3-16

collaboration, international, B-29–B-30

combatant commands, liaison with nongovernmental humanitarian agencies, E-11

Commanders' Emergency Response Program, F-11

commercial attaché, A-25

communities of purpose, A-81–A-83

complex crises, B-6

comprehensive approach, 6-33, 6-101, A-1

conduct border control, boundary security, and freedom of movement (stability task), 3-16. See also border control forces.

conduct disarmament, demobilization, and reintegration (stability task), 3-14-3-15

conflict diagnosis (ICAF), D-17-D-30

conflict mitigation, security sector reform and, 6-12

conflict termination, disarmament, demobilization, and reintegration and, 6-114

conflict transformation, 3-12

context, establishing (ICAF), D-18-D-19

contractors, provincial reconstruction teams and, F-31

Coordinator for Reconstruction and Stabilization (DOS). See S/CRS.

core grievance, conflict diagnosis and (ICAF), D-20

core mission-essential task list (CMETL), 3-83-3-86

Corps of Engineers. See U.S. Army Corps of Engineers.

corrections, provincial reconstruction teams and, F-21

corrections reform, 6-99

corruption, 3-53 transitional military authority and. 5-54

counselor officer, A-26

counterdrug operations, defense attachés and, A-9

counterinsurgency operations, defense attachés and. A-9

country reconstruction and stabilization group (IMS), B-8-

country team. See U.S. country team.

courts, host-nation, transitional military authority and, 5-56-

crisis action planning, A-17 crisis management, A-50

crowd control, 3-22

cultural astuteness, transitional military authority and, 5-33 unity of effort and, 3-5

cultural awareness, security sector reform planning and, 6-44

customs, border control and, 3-16, 6-87

defense attaché, responsibilities of. A-8-A-9. A-11. A-20

Defense Intelligence Agency, defense attachés and, A-9 S/CRS and, B-1

Defense Security Cooperation Agency, A-12

demilitarized zones, 3-12

demining, 3-19-3-20, 3-45, 3-68

demobilization, defined, 6-105

Department of Agriculture, provincial reconstruction teams and. F-14. F-24 USAID and, A-36

Department of Commerce, reconstruction and stabilization training and, B-26

Department of Defense, provincial reconstruction teams and, F-16 reconstruction and stabilization training and, B-26 responsibilities of, A-31-A-34 S/CRS and, B-1 security sector reform and, 6-8, 6-11

Department of Energy, security sector reform and, 6-13

Department of Health and Human Services, reconstruction and stabilization training and, B-26

Department of Homeland Security, reconstruction and stabilization training and, B-26 S/CRS and, B-1 security sector reform and, 6-13

Department of Justice, provincial reconstruction teams and, F-14,

reconstruction and stabilization training and, B-26 S/CRS and, B-1 security sector reform and, 6-13

Department of State, A-32, F-31 Civilian Response Corps and, B-24

international collaboration and,

provincial reconstruction teams and, F-9, F-13, F-14, F-16, F-18, F-22, F-23 responsibilities of, A-5

security sector reform and, 6-8, 6-10

reconstruction and stabilization operations and, B-26 United Nations, coordination with, A-40

Department of the Treasury, economic stabilization and recovery and, 5-41 reconstruction and stabilization training and, B-26 S/CRS and, B-1 security sector reform and, 6-13 support monetary institutions and programs and, 3-63 support national treasury operations and, 3-64

deputy chief of mission, A-19

determine disposition and constitution of national armed and intelligence services (stability task), 3-13

development assistance programs, A-36

development officer, provincial reconstruction teams and, F-26

directed mission-essential task list (DMETL), 3-87-3-89, Director for National Intelligence, S/CRS and, B-1

disarmament, defined, 6-104

disarmament, demobilization, and reintegration, 3-12, 6-102-6-116 conducting, 6-113-6-114

responsibility for, 6-114 security sector reform and, 6-4. 6-25

disaster assistance response team, Office of Foreign Disaster Assistance (USAID), A-37

dislocated civilians, 3-37-3-38, D-50

drivers of conflict, identifying (ICAF), D-25-D-27

Ε

economic development, 3-55-3-72

disarmament, demobilization. and reintegration and, 6-112 provincial reconstruction teams and, F-26

security and, 6-1

security sector reform and, 6-6

economic development work plan. provincial reconstruction teams and, F-10

economic officer, A-25 economic recovery, corruption and, 3-53

economic sector. See also
economic recovery.
border control and, 6-87
economic and infrastructure
development and, 3-55, 3-56
economic stabilization and
recovery goals, 5-40

economic stabilization and infrastructure sector, 3-55–3-72, 5-39–5-45

economic stabilization and recovery, 3-55–3-72. See also economic stabilization and infrastructure sector. transitional military authority and, 5-39–5-45

electronic commerce, border control and, 6-86

emergency food relief, 3-42–3-43 emergency relief coordinator (UN), A-44, A-45

end state, security sector reform planning and, 6-32, 6-52

enforce cessation of hostilities, peace agreements, and other arrangements (stability task), 3-12

essential services, enterprise creation and, 3-61 humanitarian relief and, 3-52 legitimacy and, 3-50 local governance and, 3-52 provincial reconstruction teams and, F-5–F-7

essential stability task matrix, transitional military authority and. 5-5

essential stability tasks, identifying, 3-1–3-6 transitional military authority and, 5-5

establish civil control (primary stability task), 3-21–3-31,

establish civil security (primary stability task), 3-11–3-20

establish interim criminal justice system (stability task), 3-23

establish public order and safety (stability task), 3-22

evaluation, stability operations, of, D-60–D-61

F

famine prevention, 3-42–3-43 field advance civilian teams (IMS), B-15

Field Force Engineering, support general infrastructure reconstruction programs and, 3-71

flexibility (reconstruction and development principle), C-22–C-23

food assistance programs, A-36 food relief, 3-42–3-43

foreign area officers, transitional military authority and, 5-33

foreign assistance programs, A-32 foreign internal defense, defense

attachés and, A-10 foreign policy advisor, A-34

foreign service, provincial reconstruction teams and, F-18, F-22, F-23

Foreign Service Institute (DOS), training and, B-27

forward engineer support team, infrastructure reconnaissance and. 3-72

fragile states, border control and, 6-88

engagement with, C-1

G

general services officer, A-22

geographic combatant commands, interagency conflict assessment framework and, D-13 reconstruction and stabilization planning and, D-13 security assistance organizations and, A-11 security sector reform and,

governance, corruption and, 3-53 provincial reconstruction teams and, F-5–F-7, F-11, F-22, F-27

restoring, 3-23 security sector and, 6-1 security sector reform and, 6-12, 6-15, 6-36, 6-58

governance and participation sector5-56–5-61 economic and infrastructure development and, 3-55 humanitarian assistance and Government Accountability Office, C-24

Н

health systems, 3-47

human dimension, tactical conflict assessment and planning framework and, D-62

human rights, 6-36, 6-42

humanitarian assistance, Office of Foreign Disaster Assistance (USAID), A-37 United Nations and, A-42– A-43, A-44, A-45

humanitarian assistance coordination center, table A-2

humanitarian coordinator (UN), A-44, A-47

humanitarian operations center, A-69, table A-2

humanitarian response, coordination responsibility, E-2 military support to, E-39, E40– E-54

ı

identification programs, 3-17 identity groups, conflict diagnosis and, D-21

information, nongovernmental humanitarian agencies, and, E-27

information engagement, 3-73– 3-74, D-62 essential stability tasks and, 3-4

information environment, border control and, 6-86

information management officer, A-22

infrastructure, civil considerations and, 3-78 education programs support and, 3-49 essential services and, 5-47 provincial reconstruction teams and, F-4, F-10 public health, 5-47 security of, 3-18, 3-22

infrastructure development, 3-55–3-72

provincial reconstruction teams and, F-26

infrastructure reconnaissance, 3-72

initial response tasks, security 3 - 13D-13 6-100 Societies. refugee coordinator and, A-24

sector, 3-11 instability, assessing, D-36–D-42

integrated security sector reform, 6-8-6-29

integration planning cell (IMS), B-11-B-13

intelligence and security services, developing, 6-89

intelligence institutions, reform of,

intent. See commander's intent. InterAction, E-3

interagency conflict assessment framework (ICAF), D-2-D-33

Interagency Management System (IMS), B-3

crisis response and, D-13 interagency conflict assessment framework and.

organization of, B-4-B-7

interagency process, A-2

Interagency Standing Committee, A-45, E40-E-54

Interagency Standing Committee guidelines, E-11

interagency working groups, A-2 intergovernmental organizations, security sector reform, role in,

International Committee of the Red Cross, 3-36, A-61-A-66, E-13-E-33. See also International Red Cross and Red Crescent Movement/

liaison with military forces, E-11

International Monetary Fund. economic and infrastructure development and, 3-58 economic stabilization and recovery and, 5-41 support monetary institutions and programs and, 3-63

International Organization for Migration, 3-36

International Red Cross and Red Crescent Movement/Societies, A-61-A-66 principles of, E-13–E-33.

internment facilities, 6-109

interventions, transitional military authority and, 5-1, 5-2

J-K-L

joint military assistance group,

Joint Staff, security sector reform and, 6-11

iustice and reconciliation sector. 6-90-6-99, 6-107 restoring governance and, 3-23

iustice reform. 6-90-6-99

justice system, nonstate, 6-92 reform programs and, 3-30

law. host-nation, 5-61

law enforcement operations, 3-24

law enforcement reform, 6-94-6-98

leadership development, security sector reform planning and,

legitimacy, civil affairs and, 3-79 development assessment and. C-15

essential services and, 3-50 military operations, of, C-25 provincial reconstruction teams and, F-11

security sector and, 6-3 security sector reform planning and, 6-32, 6-36, 6-47-6-48 transitional military authority and, 5-34, 5-38

lessons learned, tactical conflict assessment and planning framework and. D-62

liaison, A-77-A-80

limited intervention, SWEAT-MSO and, 3-35

mail, host-nation, transitional military authority and, 5-51

management officer, A-22

mandates, A-42

Marine security guard detachment, A-30

measures of effectiveness, security sector reform, of, 6-62 tactical conflict assessment and planning framework and, D-47

migration control, border control and, 6-87

military commissions, 3-30

military government, courts and, 3-30

military governors, 5-20

military intelligence, transitional military authority and, 5-33

military liaison office, A-12

military police forces, 3-24

military training mission, A-12

minefields, 3-19-3-20, 3-45 support to agricultural development programs and, 3-68

mission directors (USAID), C-20

mission-essential tasks, stability operations, in, 3-81-3-89

mobile security division, A-28

multinational force liaison officer, provincial reconstruction team, of. F-20

multinational operations, command and control of, A-48

National Economic Council, A-3 National Security Council, A-2, A-3-A-4

National Security Strategy, security sector reform and, 6-5

noncombatant evacuation operations, counselor officer and. A-26 defense attachés and. A-9 regional medical officer and,

noncombatants, E-46

A-27

nongovernmental humanitarian agencies (NGHAs), guidelines for interaction with military forces, E-6-E-12. See also nongovernmental organizations. security of, E-48

nongovernmental organizations, A-53-A-59. See also nongovernmental humanitarian agencies.

coordination with, A-53, A-55 security of, A-56

nonlethal actions. See lethal and nonlethal actions.

nonstate justice systems, 6-92 North Atlantic Treaty Organization, A-49-A-52, table A-1

Office for the Coordination of Humanitarian Affairs (UN), A-40, A-44-A-45

Office of Conflict Management and Mitigation (USAID), security sector reform and, 6-12 office of defense cooperation, A-12 Office of Foreign Disaster Assistance (USAID), A-37 Office of Military Affairs (USAID), security sector reform and, 6-12 Office of the Coordinator for Reconstruction and Stabilization (DOS), security sector reform and, 6-10 Office of Transition Initiatives (USAID), security sector reform and, 6-12 operational military authority. 5-17-5-19 Organisation for Economic Cooperation and Development (UN), economic and infrastructure development and. 3-58 Oslo Guidelines, E-34-E-39 oversight, USG, C-24 ownership (reconstruction and development principle), C-4-C-7 Paris Principles, 6-116 participation, 6-1 partnership (reconstruction and development principle), C-20-C-21 peace building, disarmament, demobilization, and reintegration and, 6-111 peace operations, A-51

United Nations and, A-42-A-43

peace support operations, disarmament, demobilization, and reintegration and, 6-114

peacekeeping, A-50

peacetime military engagement, directed mission-essential task list and, 3-87 security sector reform and, 6-26

penal law, host-nation, 5-56-5-61 planning. See also interagency conflict assessment framework. theater security cooperation plan.

civil affairs, A-67

conflict prevention and mitigation, B-16, D-3, D-10, D-31-D-33 contingency, D-11, D-31-D-33 crisis action. B-18 crisis response, D-11, D-31-D-33 disarmament, demobilization, and reintegration and, 6-110-6-112, 6-115 international, B-29-B-30 mission strategic, D-10 nongovernmental humanitarian agencies, with, E-9-E-12 public affairs, A-58 reconstruction and stabilization, B-14, D-11-D-15 security sector reform, 6-30-6-55 segue into (ICAF), D-31-D-33 stabilization, D-3, D-34 steady-state engagement, D-10, D-31-D-33 tactical stability matrix and, D-59 transition of authority, for, 6-53 transitional military authority and, 5-6-5-7, 5-32 transition into (ICAF), D-31-D-33 USG principles of, B-19 whole of government, B-16policing, interim, 3-22, 3-24 political advisor, A-34 political counselor, A-23 population movement, D-50 post security officer, A-28 preventive medicine, 5-47 primary stability tasks 3-6-3-10. See also stability tasks. stability sectors and, 3-9-3-10 transitional military authority and. 5-5 private security companies, stability tasks and, 3-8

provincial reconstruction development committees, F-12 provincial reconstruction teams. development assessment and, C-15 operations of, F-31-F-33 principles of, F-1-F-13 reconstruction and stabilization training and, B-27 structure of, F-14-F-33 training of, F-9 provost courts, 3-30 public affairs, civil-military cooperation center and, A-76 nongovernmental organizations and, A-58 provincial reconstruction teams and, F-23 public affairs officer, A-29 public diplomacy officer, provincial reconstruction teams and, F-23 public health, 3-47-3-48, 5-46-5-47 public information, 3-31 public services, development assessment and, C-15 Q-R reconstruction, provincial reconstruction teams and, F-10-F-13 reconstruction and development, principles of, C-2-C-26 reconstruction and stabilization, B-2-B-3 security sector reform and, 6-13 plans, B-14 USAID and, A-36 Red Cross/Red Crescent. See International Committee of the Red Cross. refugee coordinator, A-24 refugees, resettlement and, 6-108 regional medical officer, A-27 regional security officer, A-28 reinsertion, reintegration and, reintegration, defined, 6-107 reintegration centers, 6-109 religious factors, 5-49 repatriation, 6-108 resettlement, 6-108 restore essential services (primary

stability task, 3-32-3-49,

protect key personnel and facilities

(stability task), 3-18

3-67

protect natural resources and

provide essential civil services

(stability task), 3-34-3-35

provincial action officer, provincial

reconstruction teams and, F-22

environment (stability task),

- restore telecommunications infrastructure (stability task), 3-70
- restore transportation infrastructure (stability task), 3-69
- results (reconstruction and development principle), C-17–C-19
- risk, security sector reform and, 6-40
- rule of law, 3-46, 6-2
 corruption and, 3-53
 justice system reform and,
 3-28
 public outreach and, 3-31
 security and, 6-1
 security sector reform and, 6-7,
 6-12, 6-15, 6-38
- rule of law coordinator, provincial reconstruction teams and, F-21

S

- S/CRS, B-1
 - international collaboration and, B-29
 - reconstruction and stabilization training and, B-26–B-27
- security, provincial reconstruction teams and, F-8–F-9
- security assistance officer, A-20
- security assistance organizations, A-10–A-16
- security force assistance, brigade combat teams and, 3-87 defined, 6-77 developing, 6-77–6-89 imperatives of, 6-83
- security force development, security sector reform and, 6-36, 6-45, 6-49–6-50
- security forces, determining development requirements for, 6-69–6-74
 - determining optimal structure of, 6-63–6-68
 - disarmament, demobilization, and reintegration and, 6-111 training and education of, 6-75–6-76
- security officer, A-22
- security sector. See also security sector reform. components of, 6-3 economic and infrastructure development and, 3-55 elements of, 6-16-6-21

- security sector reform, 3-13, 6-8–6-29. See also security sector. civilian oversight and control of, 6-58–6-62 defined, 6-4
 - foundations of, 6-41–6-42 military role in, 6-22–6-26 planning for, 6-43–6-52 principles of, 6-33–6-40
- responsibility for, 6-35 selectivity (reconstruction and
- senior defense official, responsibilities of, A-8–A-9

development principle), C-13

- shrines and art, transitional military authority and, 5-52
- social structures, D-24
- sovereignty, security sector reform and, 6-27
- special activities, A-35
- spectrum of conflict, security sector reform and, 6-22
- Sphere Project Minimum Standards, E-11
- stability sectors, primary stability tasks and, 3-9–3-10
- stability tasks. See also primary stability tasks. responsibility for, 3-8
- stakeholders, D-14, D-55
- strategy, country assistance, D-10 support agricultural development
- support agricultural development programs (stability tasks), 3-68
- support anticorruption initiatives (stability task), 3-53
- support assistance to dislocated civilians (stability task), 3-40
- support corrections reform (stability task), 3-29
- support development of local governance (stability task), 3-52
- support economic generation and enterprise creation (stability task), 3-59–3-62
- support education programs (stability task), 3-49
- support elections (stability task), 3-54
- support famine prevention and emergency food relief programs (stability task), 3-42–3-43
- support general infrastructure reconstruction programs (stability task), 3-71–3-72

- support human rights initiatives (stability task), 3-46
- support humanitarian demining (stability task), 3-45. See also clear explosive and CBRN hazards, demining, minefields.
- support identification (stability task), 3-17
- support judicial reform (stability task), 3-26
- support justice system reform (stability task), 3-28
- support law enforcement and police reform (stability task), 3-24–3-25
- support monetary institutions and programs (stability task), 3-63
- support national treasury operations (stability task), 3-64
- support nonfood relief programs (stability task), 3-44
- support private sector development (stability task), 3-66
- support property dispute resolution processes (stability task), 3-27
- support public health programs (stability task), 3-47–3-48
- support public outreach and community rebuilding programs (stability task), 3-31
- support public sector investment programs (stability task), 3-65
- support security to dislocated civilians camps (stability task), 3-41
- support to economic and infrastructure development (primary stability task), 3-55–3-72. See also economic stabilization and infrastructure sector, economic stabilization and recovery.
- support to governance (primary stability task), 3-50–3-54, 5-56– 5-61
- support transitional administrations (stability task), 3-51
- support war crimes courts and tribunals (stability task), 3-30
- sustainability (reconstruction and development principle), C-11–C-12

SWEAT-MSO, lines of effort and, 3-35,

Τ

tactical conflict assessment and planning framework (TCAPF), D-34–D-63

tactical stability matrix, D-58–D-59 territorial military authority, 5-20–5-23

host-nation participation in, 5-24–5-30, 5-55

theater security cooperation plan, D-10

defense attachés and, A-8 interagency conflict

assessment framework and, D-13

security sector reform and, 6-26

traditional justice systems, 6-92

training, conflict transformation and, B-27

directed mission-essential task list and, 3-87

primary stability tasks, on, 3-84 provincial reconstruction teams and, F-6–F-7

reconstruction and stabilization, B-26–B-28

training assistance, defense attachés and, A-10

transformation tasks, security sector, 3-11

transition of authority, security sector reform planning and, 6-53–6-55

transitional military authority, 3-50, 3-78

authority to implement, 5-7 command responsibility for, 5-6-5-7

defined, 5-8

establishing, 5-8–5-11 organizing for, 5-12–5-30

property disputes and, 3-27 situations requiring, 5-8

transitions, civil-military

cooperation center and, A-75 security force assistance and, 6-84-6-85

security sector reform and, 6-25, 6-41, 6-57

transitional military authority and, 5-3, 5-4

u

UN country team, A-45

UN Department for Peacekeeping Operations, A-40, A-44, A-46

UN Office of the High Commissioner for Refugees, refugee coordinator and, A-24

UN Development Programme, A-40, A-46

unexploded explosive ordnance, 3-19

support to agricultural development programs and, 3-68

unified action, security sector reform and, 6-13, 6-30 transitional military authority and, 5-21

United Nations, 3-42, A-40–A-48 dislocated civilians and, 3-36 humanitarian response, and, E-2–E-3

U.S. military support to, A-41

unity of command, provincial reconstruction teams and, F-31 transitional military authority and, 5-18

unity of effort, cultural astuteness and, 3-5

security sector reform and, 6-13, 6-56

transitional military authority and, 5-21, 5-22

U.S. Agency for International Development, 3-42, A-32, A-36–A-38. C-1

Civilian Response Corps and, B-24

provincial reconstruction development committees and, F-12

provincial reconstruction teams and, F-6–F-7, F-10–F-12, F-14, F-26, F-27

reconstruction and stabilization training and, B-26

refugee coordinator and, A-24 S/CRS and, B-1

security sector reform and, 6-12

support to national treasury operations and, 3-64

support to public sector investment programs and, 3-65

U.S. Army Corps of Engineers, provincial reconstruction teams and, F-25 support general infrastructure reconstruction programs and, 3-71–3-72

U.S. country team (DOS), A-6, A-32

ambassadors and, A-17 defense attachés and, A-10 interagency conflict assessment framework and,

D-13 reconstruction and stabilization planning and, D-13

security sector reform and, 6-9 USAID and, A-36

U.S. defense representative, A-20

U.S. Institute of Peace, reconstruction and stabilization training and, B-26

U.S. Millennium Challenge Corporation, A-36

use of force, transitional military authority and, 5-37

V-W-X-Y-Z

whole of government approach, 6-8, 6-13

World Bank, economic and infrastructure development and, 3-58

support monetary institutions and programs and, 3-6

This page intentionally I	eft blank.	

This page intentionally left blank.	

This page intentionally left blank.	

PIN: 080499-001